

1 Capitol Hill, 3rd Fl. Providence, RI 02908 www.bdp.state.ri.us

Phone: (401) 222-2565 Fax: (401) 222-5744

COA RENEWAL/AMENDED APPL.

# Application and Instructions for Renewal/Amended Certificate of Authorization (COA)

TITLE 5
Businesses and Professions

#### **CHAPTER 5-8**

#### 5-8-24. Corporate, partnership and sole proprietorship practice. -

- (a) The practice or offer to practice engineering as defined by this chapter by a corporation, partnership or sole proprietorship, subsequently referred to as the "firm", through individuals is permitted; provided, that the individuals: (1) are in direct control of such practice; (2) exercise personal supervision of all personnel who act in behalf of the firm in professional and technical matters; and (3) are registered under the provisions of this chapter; and provided, further, that said firm has been issued a certificate of authorization by the board of engineers.
- (b)(1) Within one year after enactment of this chapter every firm must obtain a certificate of authorization from the board and those individuals in direct control of the practice and who exercise direct supervision of all personnel who act in behalf of the firm in professional and technical matters must be registered with the board. The certificate of authorization is issued by the board upon satisfaction of the provisions of this chapter and the payment of a fee not to exceed one hundred twenty-five dollars (\$125.). This fee shall be waived if the firm consists of only one person who is the person in responsible charge.
- (2) Every firm desiring a certificate of authorization must file with the Board an application for same on a form to be provided by the Board. A separate form provided by the board is to be filed with each renewal of the certificate of authorization and within thirty (30) days of the time any information previously filed with the Board has changed, is no longer true or valid, or has been revised for any reason. If, in its judgment, the information contained on the application and renewal form is satisfactory and complete, the board will issue a certificate of authorization for the firm to practice engineering in this state.
- (3) No firm that has been granted a certificate of authorization by the board shall be relieved of responsibility for modification or derivation of the certificate, unless the board has issued for the applicant a certificate of authorization or a letter indicating the eligibility of such applicant to receive the certificate. The firm applying shall supply the certificate or letter from the Board with its application for incorporation or registration as a foreign corporation.



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#### INSTRUCTIONS FOR CORPORATIONS

#### **RENEWAL**

- 1. Complete the <u>Certificate of Authorization</u> (COA) renewal application, have it notarized and mail it to the Board with the \$50.00 renewal fee and/or \$50.00 name change fee. There is no fee to add or change a person in responsible charge. **If you are the only employee in your firm. you are exempt from the fee.**
- A copy of a current <u>Certificate of Good Standing</u> must accompany your application and renewal fee. You may obtain this form at the Office of the RI Secretary of State or phone at (401) 222-3040.
- 3. After review and acceptance of renewal application, you will be sent a renewal letter and sticker to be placed on your wall certificate.

## INSTRUCTIONS FOR PARTNERSHIP AND SOLE PROPRIETORSHIP

#### **RENEWAL**

- 1. Complete the <u>Certificate of Authorization</u> (COA) renewal application, have it notarized and mail it to the Board with the \$50.00 renewal fee and/or \$50.00 name change fee. There is no fee to add or change a person in responsible charge. **If you are the only employee in your firm, you are exempt from the fee.**
- 2. After review and acceptance of renewal application, you will be sent a renewal letter and sticker to be placed on your wall certificate.

COA#	
Check #	



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Name under which services will be offered.						wal Fee: Chage Fee:	\$50.0 \$50.0	
Name:						k payable to: Trea	•	
Address:					RE	NEWAL DUE DA	TE: 6/3	30.
City State Zip  Phone: ( ) Fax: ( )  TYPE OF ENGINEERING SERVICES OFFERED IN R.I.:					☐ Corporation ☐ Sole Proprietorship ☐ Partnership			
List all Rhode Island licensed engir I hereby certify under oath that I ackn my employment and/or position of er occur, that I must notify this board, in to hold me responsible for any and al laws and regulations governing the p oath.	owledge that I ngineer in resp writing, within I work perform	have the obliga onsible charge seven (7) days ed by this firm.	tion of kee I further a of the date I hereby o	ping this Boacknowledge of such te ertify that I	eard informed e that in the rmination and am familiar w	event that such a that otherwise, thi ith and agree to co	terminati s Board mply wit	ion of responsibility shall be authorized th the Rhode Island
Name	RI Lic. No.	Discipline	Are you Yes	listed on o	ther COA's How Many	Signatu	ire	
If you are the only employee in you "I hereby certify that I have no employ Signature:	. •	•	•	•			ual in res	sponsible charge."
Have you or any partner, officer, any offense which involves, fraud, pro     If yes, for each such offen convicted or has entered into a plea.	fessional negli se, state the n	gence, moral tu ature of the ch	rpitude or a arge, the \$	are any such State in wh	n charges now	v pending? e was brought and	I the per	
2. Has any officer, majority sharehol practice engineering revoked or susprevocation or suspension.						eir address, and th	ne nature	
3. Have you read/understood the provisions of R.I. Gen. Laws Title 5, Chap. 8 as amended?  Yes						No		
4. Have you read/understood the Car	nons of Ethics a	adopted by the	RI Board of	Profession	al Engineers?	,	Yes	No
5. Number of employees, including se	elf	_						
I am aware that the Certificate of Author causes to be violated any provision							violates	3
Signed: (Director)			Title:			Date:		
Before me personally appeared the si officer. In witness thereof: Subscribe	gner of the abo d and sworn to	ove and execute before me this	ed this appli	cation for th	ne purposes s	tated by signing his	s/her nan	ne as the authorized
County and State Sign	ed (Notary Pu	blic)		Date Co	mmission Ex	pires	Nota	ary Seal



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#### List additional Rhode Island licensed engineers in responsible charge who act on behalf on the firm.

I hereby certify under oath that I acknowledge that I have the obligation of keeping this Board informed at all times in the event that I should terminate my employment and/or position of engineer in responsible charge. I further acknowledge that in the event that such a termination of responsibility occur, that I must notify this board, in writing, within seven (7) days of the date of such termination and that otherwise, this Board shall be authorized to hold me responsible for any and all work performed by this firm. I hereby certify that I am familiar with and agree to comply with the Rhode Island laws and regulations governing the practice for which I am licensed. Whereupon I have caused my signature to be affixed to this application under oath.

<u>Name</u>	RI Lic. No.	<u>Discipline</u>	Are you   Yes	isted on No	other COA's How Many	<u>Signatu</u>	<u>ire</u>
	•	•	1	ı		ı	
Subscribed and sworn to be	fore me this		day of _				
County and State	Signed (Notary	Public)		Date	Commission	Expires	Notary Seal